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PTO/SB/21 (08-00)

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	09/866,502
		Filing Date	05/25/2001
		First Named Inventor	Chang
		Group Art Unit	
		Examiner Name	
Total Number of Pages in This Submission		Attorney Docket Number	SBC-0101

### ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group	
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences	
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)	
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information	
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter	
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):	
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	<b>Copies of Disclosure</b>	
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund		
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____		
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53			

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Dale B. Halling
Signature	
Date	8/19/02

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

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Typed or printed name	Laura P. Hansen
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## PATENT APPLICATION

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Chang et al

EXAMINER:

SERIAL NO.: 09/866,502  
FILED: 5/25/2001ART GROUP:  
CASE NO.: SBC-0101

TITLE: Method for Improving the Reliability of Peer -to - Peer Downloads

Law Office of Dale B. Halling, LLC  
24 S. Weber St., Suite 311  
Colorado Springs, CO 80903

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97

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Honorable Commissioner of Patents  
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Washington, D.C. 20231

AUG 26 2002

Dear Sir:

Technology Center 2100

Under 37 C.F.R. § 1.97, Disclosure is submitted before the mailing of the first office action on merits so no fee is due. A supplemental list of documents is disclosed that may be material to the examination of this application. A copy of each of the documents is included herewith for the Examiner's consideration.

No inference should be drawn that the attached list represents a comprehensive investigation of the prior art; that any or all are pertinent to the invention; that the apparatus therein is analogous to the invention; or that any apparatus disclosed is the equivalent of the subject invention.

All of the applicant's claims are believed to be allowable over the references discussed above.

Respectfully submitted,

Fred Chang.

By:

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U.S. Department of Commerce Patent and Trademark Office				Atty. Docket No. SBC-0101	Serial No. 09/866,502
LIST OF REFERENCES CITED BY APPLICANT				Applicants: Chang et al	
Page 1 of 1				Filing Date: 05/25/01	Group:

#### U.S. PATENT DOCUMENTS

Examiner's Initials		Document No.	Date	Name	Class	Subclass	Filing Date (If Appropriate)
	A A	5,848,231	12/8/1998	Teitelbaum et al	395	186	
	A B	6,029,195	2/22/2000	Herz	709	219	
	A C	6,046,980	4/4/2000	Packer	370	230	
	A D	6,339,785	1/15/2002	Feigenbaum	709	213	
	A E						
	A F						

#### INTERNATIONAL PUBLICATIONS

		Publication No.	Publication Date	International App No.	Filing Date	Internat'l class	sub class
	A G						RECEIVED
	A H						AUG 26 2002
	A I						Technology Center 2100
	A J						
	AK						

#### FOREIGN PATENT DOCUMENTS

		Document No.	Date	Country	Class	Subclass	Translation	
							Yes	No
	A L							
	AM							
	AN							
	AO							

#### OTHER (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

AP	
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Examiner \_\_\_\_\_ Date Considered \_\_\_\_\_

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to Applicant.